

Audit Committee – 12 June 18

Summary of the overall status of risk within the Council – Quarter 4 2017/18

The following report summarises the overall status of risk within Swansea Council.

The report for the Quarter 4 period compares a snapshot of the Council's risk registers taken at 28/12/17 with another snapshot taken on 27/03/18.

Overall Risk Status – Quarter 4 2017/18

The table below illustrates overall risk status as at 28/12/17 and 27/03/18

	Low Risk	Medium Risk	High Risk
28/12/17	16	126	31
27/03/18	13	137	31
+ - change	-3	+11	0

Summary – changes to the registers during Quarter 3 2017/18

- 73% of the risks that were in place as at 28/12/17 were recorded as having been reviewed as at 27/03/18.



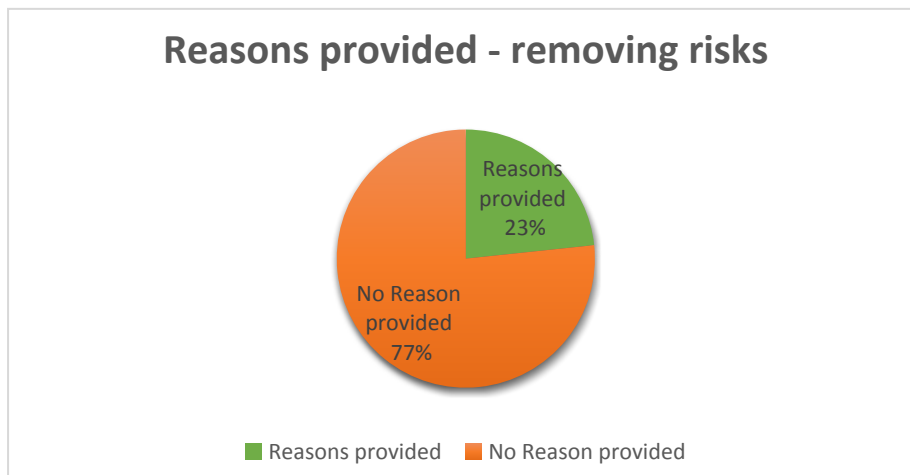
- 68 new risks were added to the registers.

Low Risk	Medium Risk	High Risk
4	51	13

- 60 risks were closed.

Low Risk	Medium Risk	High Risk
7	40	13

- 14 out of the 60 (23%) risks that were closed had reasons for doing so recorded.



- 12 risks were escalated compared to 11 risks in Quarter 3.
- The overall RAG status of 6 risks were changed. All 6 (100%) had reasons for doing so recorded.

Other observations

- Controls and actions are in place for all risks on the registers.
- The majority of actions and controls to mitigate risks are current.
- 73% of risks were recorded as having been reviewed at Quarter 4, which is a significant increase on 49% of risks reviewed in the third quarter.
- The main issue identified at Quarter 4 2017/18 is the need for risk owners to ensure that they record onto the risk register the reasons for closing risks.

Developments

- The review of the Corporate Risks following the production of the Corporate Plan 2017-22 is complete; the Corporate Risk register records the revised risks.
- Audit Committee now has access to the Corporate Risk Register. Two Members have reported not being able to access the register; the ICT department is exploring a solution.

Appendix 1 – Summary of Corporate Risk Register

http://staffnet02/RiskRegister/default.aspx?mess=Your+session+has+timed+out.+Please+try+again

Risk Register - Risk List

Risk Register
Corporate Risks

richard rowlands

Filter by... Category: All Responsible Officer: All Archived Risks

Previous Next

Risk Id	Risk Title	Category	View	Edit	Responsible Officer	Date Last Updated	Updated By	Overall RAG	Audit History
CR 79	Local Government Reorganisation	Corporate Governance	View	Edit	phil roberts	27/03/2018	CCOS\PHIL.ROBERTS	A	View History
CR 80	Financial Control and Sustainable Swansea	Corporate Finance	View	Edit	ben smith	27/03/2018	CCOS\RICHARD.ROWLANDS	R	View History
CR 81	City Centre	Corporate Plan Priorities	View	Edit	martin nicholls	19/04/2018	CCOS\MARTIN.NICHOLLS	A	View History
CR 82	Safeguarding	Corporate Plan Priorities	View	Edit	david howes	27/03/2018	CCOS\RICHARD.ROWLANDS	A	View History
CR 83	Pupil attainment and achievement	Corporate Plan Priorities	View	Edit	nick williams	27/03/2018	CCOS\RICHARD.ROWLANDS	A	View History
CR 84	Tackling poverty	Corporate Plan Priorities	View	Edit	chris sivers	27/03/2018	CCOS\RICHARD.ROWLANDS	A	View History
CR 85	Workforce Strategy	Corporate Governance	View	Edit	sarah caulkin	27/03/2018	CCOS\RICHARD.ROWLANDS	A	View History
CR 86	Digital, data and information security	Corporate Governance	View	Edit	sarah caulkin	27/03/2018	CCOS\RICHARD.ROWLANDS	A	View History
CR 87	Emergency Planning, Resilience and Business Continuity	Corporate Governance	View	Edit	sarah caulkin	27/03/2018	CCOS\RICHARD.ROWLANDS	A	View History
CR 88	Health & Safety	Corporate Governance	View	Edit	sarah caulkin	27/03/2018	CCOS\RICHARD.ROWLANDS	A	View History
CR 89	New legislative and statutory requirements	Corporate Governance	View	Edit	tracey meredith	27/03/2018	CCOS\RICHARD.ROWLANDS	A	View History
CR 90	Decision to leave the European Union (BREXIT)	Corporate Finance	View	Edit	ben smith	27/03/2018	CCOS\RICHARD.ROWLANDS	A	View History
CR 91	Tax evasion	Corporate Finance	View	Edit	ben smith	27/03/2018	CCOS\RICHARD.ROWLANDS	A	View History

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